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Research paper

## An efficient, intelligent PSO-P&O-PI MPPT mechanism for Photovoltaic Systems under variable climatic conditions

Layachi Zaghba \*, Abdelhalim Borni ,Messaouda Khennane, Amor Fezzani and Abdelhak Bouchakour

*Unité de Recherche Appliquée en Energies Renouvelables, URAER, Centre de Développement des Energies Renouvelables, CDER, 47133, Ghardaïa, Alegria.*

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### ABSTRACT

In recent years, there has been a global challenge in developing MPPT algorithms that provide maximum power efficiency. This paper deals with a novel P&O-PI MPPT mechanism where the soft computing technique called particle swarm optimization (PSO) is used to tune the PI parameters. The proposed approach was designed to improve the tracking response time of MPP with high efficiency. This MPPT is applied to two types of PV systems: tracking systems and fixed systems. The energy gain of a dual-axis tracker was compared to that of a fixed system. Simulation results were carried out in Matlab and Simulink environments to demonstrate the effectiveness of the suggested control strategy in terms of productivity, efficiency, and oscillations under different fast environmental conditions. We can see that the recommended technique shows excellent performance in terms of power overshoot, low oscillation, and response time. The PSO-P&O-PI-algorithm offers a considerable improvement in tracking efficiency of 99.90% and a time response of 0.023 s. We have demonstrated the significance of involving the sun-tracker PV system regarding produced power (acquired power) with around 25% energy gain, particularly during the less sunny hours.

## 1. INTRODUCTION

For the delivery of the greatest amount of power from the PV field, a variety of conventional MPPT algorithms have been investigated, including the short-circuit current method [1-2], the open-circuit voltage method [3-4], the perturb and observe (P&O) method [5-6], the incremental inductance (INC) method [7-9], and hill-climbing [10-11]. However, in the steady state, there are a lot of oscillations and

\* Corresponding author, E-mail address: [layachi40@cder.dz](mailto:layachi40@cder.dz)

Tel : + 213 698533282



power losses near the ideal position, which makes it difficult to respond quickly or accurately. Several advanced MPPT technologies have been developed in recent years to increase response time and accuracy, including neural networks [12-14], fuzzy logic controllers [15-20], neuro-fuzzy approaches [21-22], genetic algorithms [23], bee colony [24-26], ant colony algorithms [27-28], particle swarm optimization [29-31], and glow-worm swarm [32].

This work focuses in the first part on the optimization of the P&O-PI MPPT approach based on a soft computing technique called particle swarm optimization (PSO) to increase the follow-up time response of high-yield MPP. Furthermore, a study is conducted to analyze and contrast the efficiency of a photovoltaic conversion system linked to a two-axis solar tracker with that of a comparable fixed PV system. The obtained results proved that the importance and effectiveness of the photovoltaic system placed on a solar tracker were about 25%.

The manuscript is organized as follows: In Section 2, a grid-tied PV system description includes the designer of the proposed PSO-P&O-PI MPPT controller. Simulation results and discussion are presented in Section 3. The conclusion is presented in Section 4.

## 2. GRID-CONNECTED PV PLANT DESCRIPTION

The following schema shows the grid-connected solar system that will be studied in this research paper (see Fig 1). It is made up of the following blocks: PV field, boost converter, DC-Link, and two-level inverter connected to a three-phase grid.

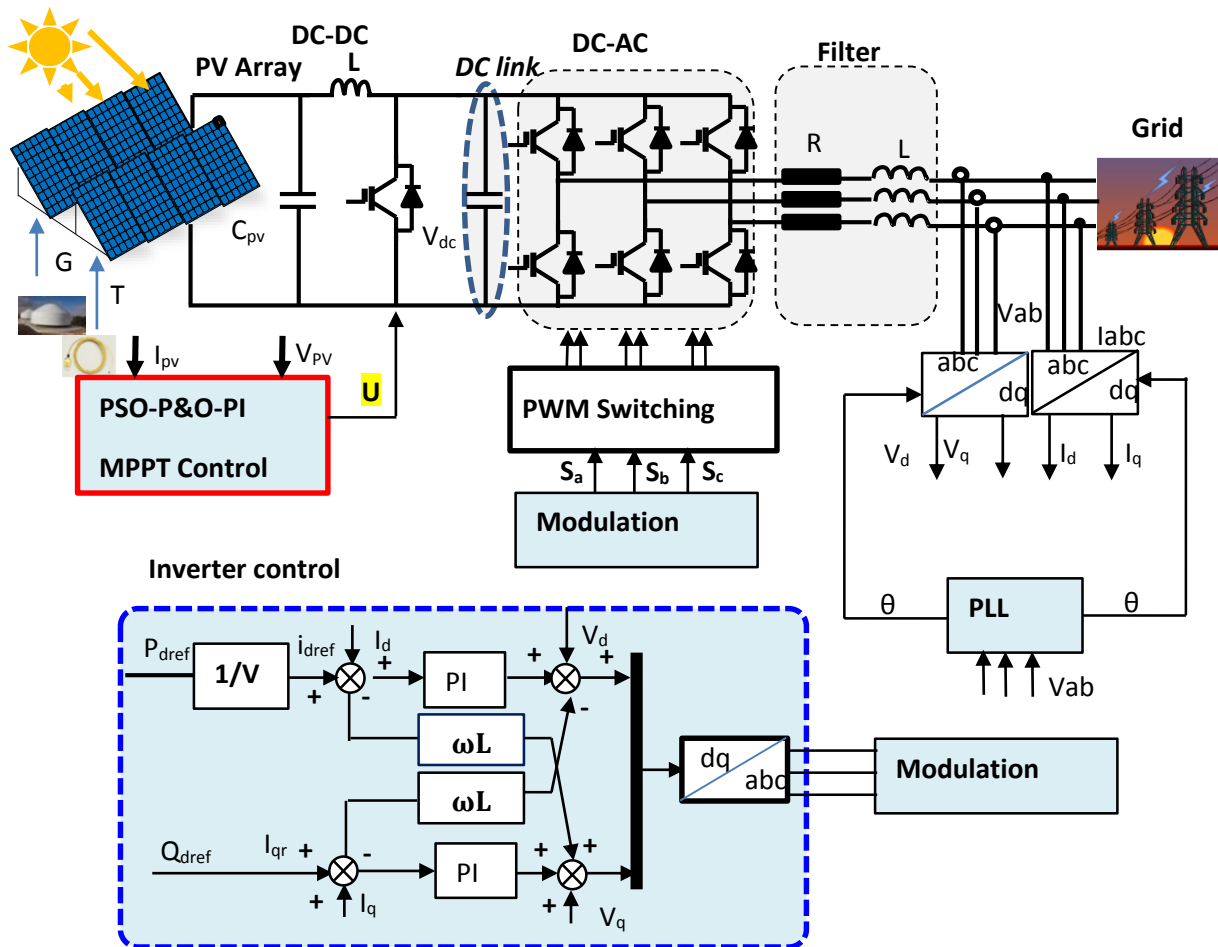


Fig 1. Two-stage grid-tied PV plant.

The PV power field contains five strings. Each string contains 20 panels in series. Photovoltaic array electrical specifications are given in Table 1.

Table 1. Photovoltaic array electrical specifications

Power	6000 Wp
Voltage Vmpp	342 V
Current Impp	17.5 A
Short-circuit current (Isc)	19 A
Open-circuit voltage (Voc)	422 V
Number of Modules	100

### 2.1 DC-DC converter

A photovoltaic generator is attached to a boost converter. The boost converter state-space is given as [33-35]:

$$\begin{bmatrix} \dot{I}_L \\ \dot{V}_{dc} \end{bmatrix} = \begin{bmatrix} 0 & -\frac{1}{L}(1-D) \\ \frac{1}{C}(1-D) & -\frac{1}{C \cdot R_L} \end{bmatrix} \begin{bmatrix} I_L \\ V_{dc} \end{bmatrix} + \begin{bmatrix} \frac{1}{L} \\ 0 \end{bmatrix} \quad (1)$$

$$\begin{cases} \dot{x}_1 = -\frac{1-u}{L}x_2 + \frac{1}{L}D \\ \dot{x}_2 = \frac{1-u}{C}x_1 + \frac{1}{RC}x_2 \end{cases} \quad (2)$$

Where  $u$  is the duty cycle,  $V_{pv}$  is the input voltage of the boost converter,  $I_L$  is the inductor current,  $C$  is the capacitance,  $R_L$  is the load resistance and  $V_{dc}$  is the DC link output.

### 2.2 Proposed MPPT technique

#### 2.2.1 Perturbation and Observation (P&O) method

The perturbation and observation method (P&O) is the most widespread in the industrial environment because its algorithm is easy to implement. This process works by disturbing the system by increasing or decreasing the module's operating voltage and observing its effect on the output power of the array. Fig 2 shows the flowchart of the 'P&O' method algorithm.

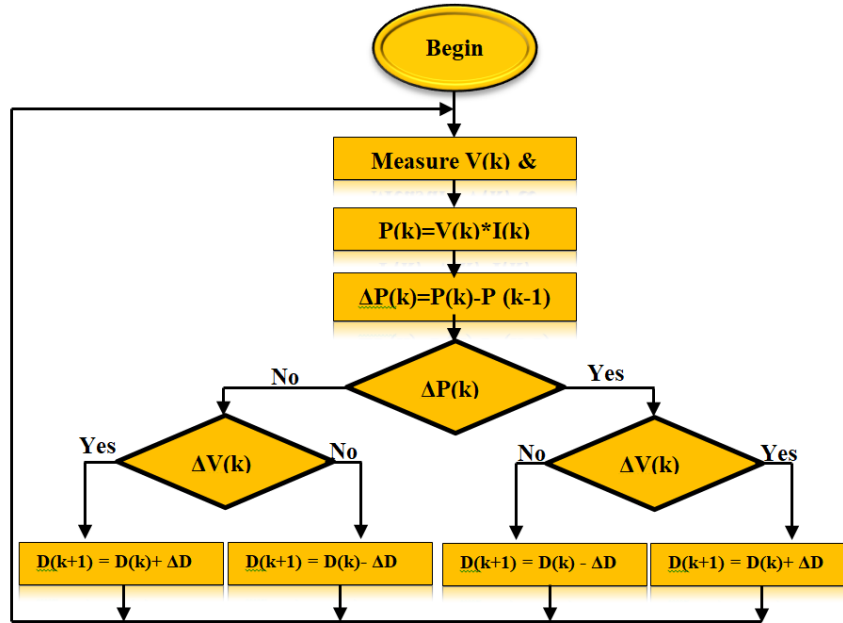


Fig 2. Flowchart of Perturb and observe algorithm.

Voltage (V) and current (I) measurements are used to calculate photovoltaic power. P (k) and P(k-1) are the recent PV and previous power of the photovoltaic module respectively. D (k) and D (k-1) are the recent PV and previous duty cycles respectively.

### 2.2.2 Particle swarm optimization (PSO)

Most of the algorithms used to solve these optimization problems are population Metaheuristics. Among these, we are focused on optimization based on Particle Swarm Optimization (PSO), which appeared in 1995 [36]. PSO has attracted many researchers and has been exploited in different areas of optimization. This method is becoming more and more popular. It is inspired by the movements of animals that move in the form of a swarm (swarms of bees, flights of birds, fish). The benefits of this technique over classical or conventional techniques are the robustness and flexibility of its efficiency in a wide variety of problems. At time t, equation 3 determined the velocity vector.

$$v_{ij}(t) = wv_{ij}(t - 1) + c_1r_1(p_{ijbest}(t - 1) - x_{ij}(t - 1)) + c_2r_2((g_{ijbest}(t - 1) - x_{ij}(t - 1))) \quad (3)$$

$$j \in \{1, \dots, D\}$$

Equation 4 determines the position at time t of particle i:

$$x_{ij}(t) = x_{ij}(t - 1) + v_{ij}(t), j \in \{1, \dots, D\} \quad (4)$$

Where:w is an inertia coefficient, c1 and c2 are acceleration coefficients, and r1 and r2 are two random numbers drawn uniformly in the range [0, 1]. The coefficient of inertia is given by

$$w = w_{max} - \left( \frac{w_{max} - w_{min}}{k_{max}} \right) \times k \quad (5)$$

Where: kmax and k are the number of iterations and the number of current iterations respectively. w<sub>min</sub> and w<sub>max</sub> are inertia coefficients.

### 2.2.3 Setting the PI controller using the PSO method for the MPPT command

Tuning the parameters of the PI controller can be considered an optimization problem when it comes to finding the optimal solution for the controller gains in a predefined search space to allow the system to follow the required reference. In this framework of analysis, we will apply the PSO technique to find the best combinations of the proportional and integral gains  $K_{p1}$ ,  $K_{p2}$ ,  $K_{i1}$ , and  $K_{i2}$ , proportional integrator regulators PI of voltage and current, which are used in the P & O MPPT command to extract the extreme power of a PV panel and improve its performance. The Laplace domain of the PI regulator is given by equation 6.

$$C(p) = K_p + \frac{K_i}{p} \tag{6}$$

Where:  $K_p$ : is a proportional gain,  $K_i$ : is the integral gain. We have an objective function (a cost function) to optimize in one direction or the other. The principle of the algorithm consists of moving these particles in the search space so that they find the best solution. The commonly used indices are defined as follows [36]:

Integration Absolute Error (IAE) is defined

$$IAE = \int_0^{\infty} |e(t)| dt \tag{7}$$

Integral Time Absolute Error (ITAE) is given by:

$$ITAE = \int_0^{\infty} t|e(t)| dt \tag{8}$$

Integrated Squared Error (ISE) is defined by:

$$ISE = \int_0^{\infty} e^2 dt \tag{9}$$

Where  $e(t)$  is the error value. The objective of the PSO algorithm is to reduce the determined objective function ( $F$ ), according to the following criteria:

$$e_1(i) = \int (V_{pv\_ref} - V_{pv})^2 dt \tag{10}$$

$$e_2(i) = \int (I_{pv\_ref} - I_{pv})^2 dt \tag{11}$$

$$F = \sum_i^N |e_1(i)| + |e_2(i)| \tag{12}$$

Where:  $V_{pv\_ref}$  and  $I_{pv\_ref}$  the reference voltage and current respectively,  $V_{pv}$  and  $I_{pv}$  the voltage and current delivered by the module under STC conditions. The PSO algorithm is employed to determine the four gains of the PI regulator ( $K_{p1}$ ,  $K_{p2}$ ,  $K_{i1}$ , and  $K_{i2}$ ), based on the two errors (the first error is between the reference voltage and the maximum voltage delivered by the module and the second error is between the reference current and the maximum current produced by the module) where the particles are decoded in four dimensions for  $K_{p1}$ ,  $K_{p2}$ ,  $K_{i1}$ , and  $K_{i2}$ . Fig 3 depicts the global block diagram of the PSO approach for adjusting the gains ( $K_{p1}$ ,  $K_{p2}$ ,  $K_{i1}$ , and  $K_{i2}$ ) of the two PI regulators.

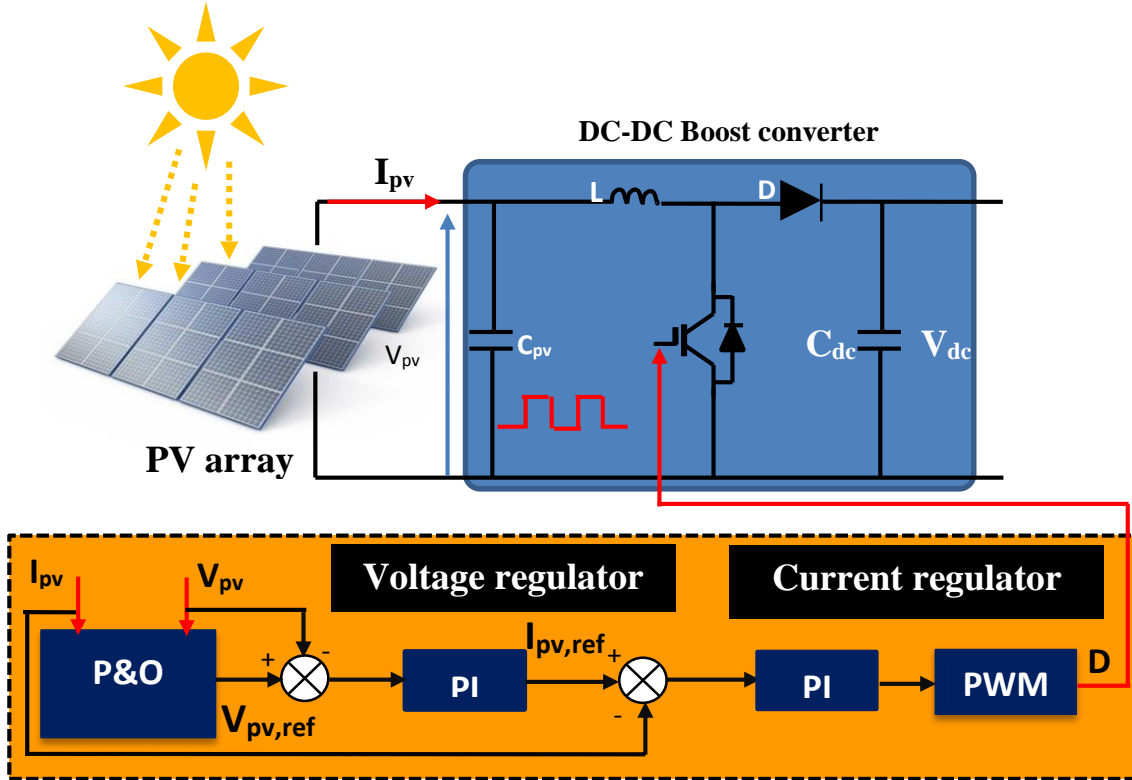


Fig 3. Diagram of PV plant with proposed MPPT control

### 2.3 Grid side

The voltage of a balanced three-phase power network is given by the following equations [37-38]:

$$\begin{cases} e_a = E_m \cos wt \\ e_b = E_m \cos(wt - \frac{2\pi}{3}) \\ e_c = E_m \cos(wt + \frac{2\pi}{3}) \end{cases} \quad (13)$$

$E_m$  : The maximum voltage value. The grid voltage at the grid side of the converter is given by (13)

$$\begin{cases} e_a = L \frac{d}{dt} i_a + R i_x + v_a \\ e_b = L \frac{d}{dt} i_b + R i_y + v_b \\ e_c = L \frac{d}{dt} i_c + R i_z + v_c \end{cases} \quad (14)$$

Using a coordinate transformation, the equation becomes as follows.

$$\begin{pmatrix} \frac{di_d}{dt} \\ \frac{di_q}{dt} \end{pmatrix} = \frac{1}{L} \begin{pmatrix} -R & \omega L \\ \omega L & -R \end{pmatrix} \begin{pmatrix} i_d \\ i_q \end{pmatrix} - \frac{1}{L} \begin{pmatrix} e_d \\ e_q \end{pmatrix} + \frac{1}{L} \begin{pmatrix} v_d \\ v_q \end{pmatrix} \quad (15)$$

The control voltage equations are as follows

$$\begin{cases} v_d = (K_p + \frac{K_i}{s})(i_{dref} - I_d) - \omega L i_q + e_d \\ v_q = (K_p + \frac{K_i}{s})(i_{qref} - I_q) + \omega L i_d + e_q \end{cases} \quad (16)$$

### 3. SIMULATION RESULTS

The power plant with a capacity of 6 kWp under the nominal conditions of STC is simulated below (both with and without solar tracking). It comprises two cascade conversion stages. The first stage is "continuous" and has five parallel module branches. Each branch has 20 MSX 60 series modules connected in serial as well as a boost converter that elevates the generator's ideal voltage to a level appropriate for the continuous bus. The second stage option comprises an inverter connected to the grid.

#### 3.1 Abrupt Variation of Irradiation

The output of a PV array during an abrupt change in irradiation,  $G=500 \text{ W/m}^2$ , from  $t=0$  to  $0.3$  seconds is shown in Fig 4. From  $t=0.3\text{s}$  to  $t=0.6\text{s}$ ,  $G$  is  $300 \text{ W/m}^2$ , and from  $t=0.6\text{s}$  to  $1\text{s}$ , it is  $1000 \text{ W/m}^2$ .

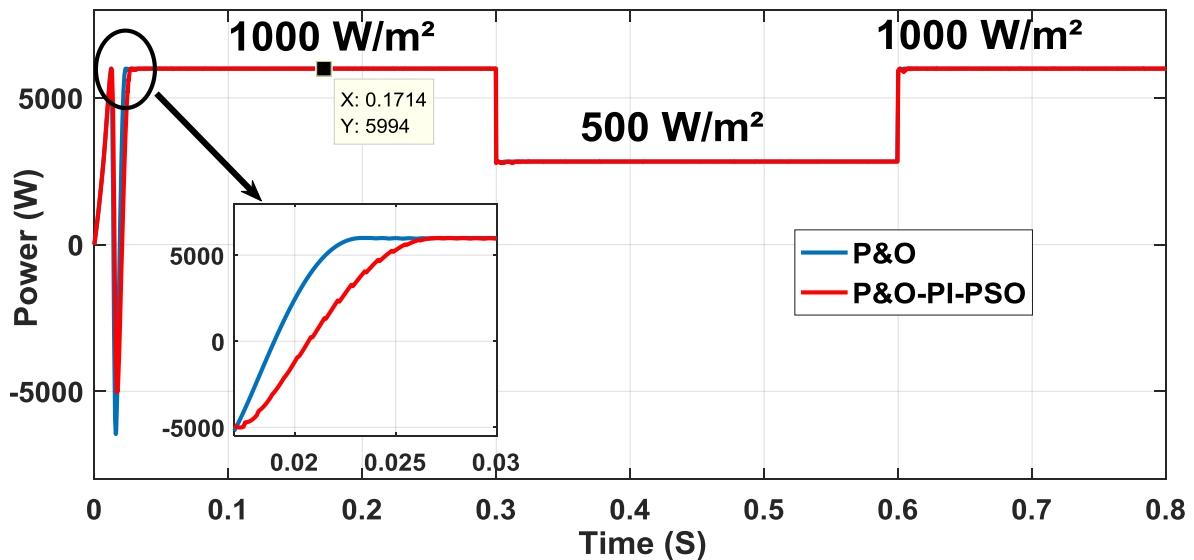


Fig 4. PV array power

### 3.2 Real solar irradiation profile

The proposed control device consists mainly of two control blocks (current and voltage blocks). The parameters of the system to be simulated are summarized in Table 2.

Table 2. Specifications for grid-connected power plants

Boost converter	DC bus	Inverter	PI	Filter	Grid
C= 1000 $\mu$ F	Vdc=800 V	fs=15 kHz	Kp=9	Lf= 3 mH	Vr=380 V
L= 50 mH fs=4500 Hz	Cdc= 2.2 mF		Ki=300	Rf=0.1 $\Omega$	f=50 Hz

The indicators of the PSO technique are displayed in Table 3.

Table 3. Indicators of the PSO technique

The size of the swarm	15
The maximum number of iterations	20
$c_1=c_2$	2
$w_{max}$	0.9
$w_{min}$	0.4

The variations of the objective function and the variations of the optimal gains Kp1, Ki1, Kp2, and Ki2 during the simulation are depicted respectively in Fig .5 and Fig .6.

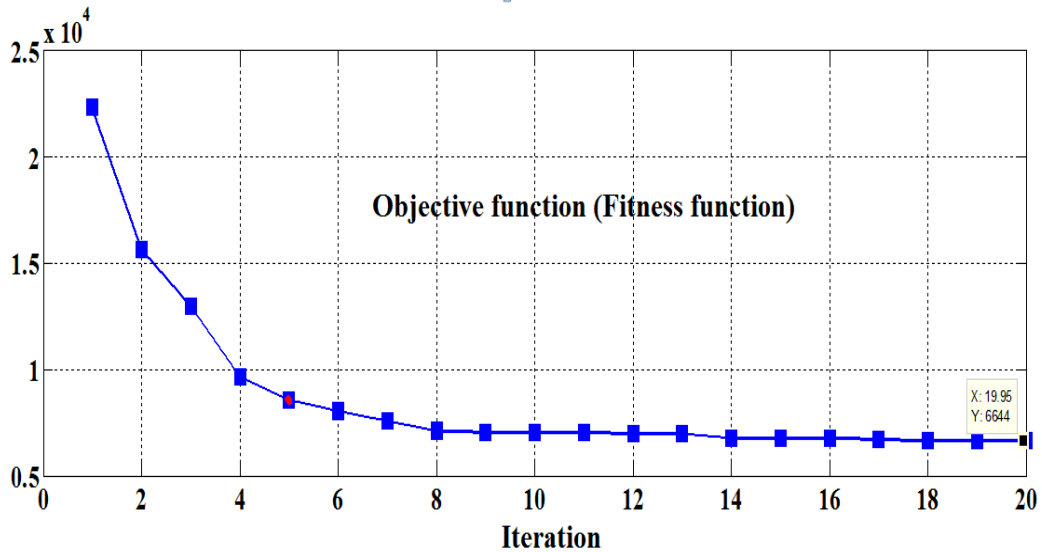


Fig 5. Variations of the objective function.



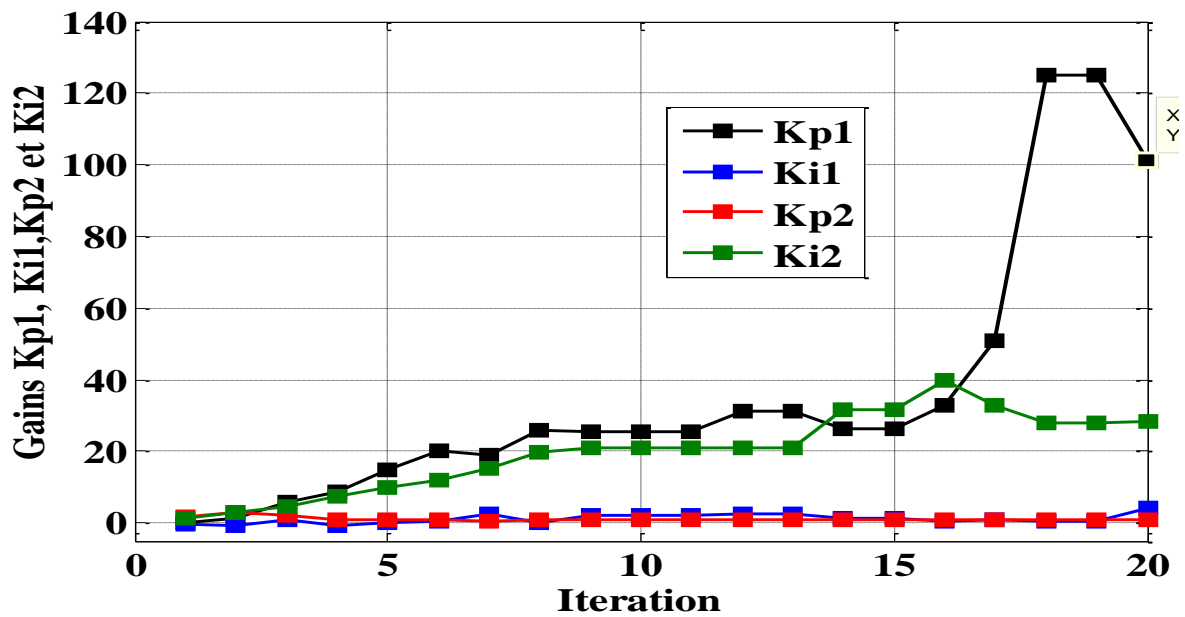


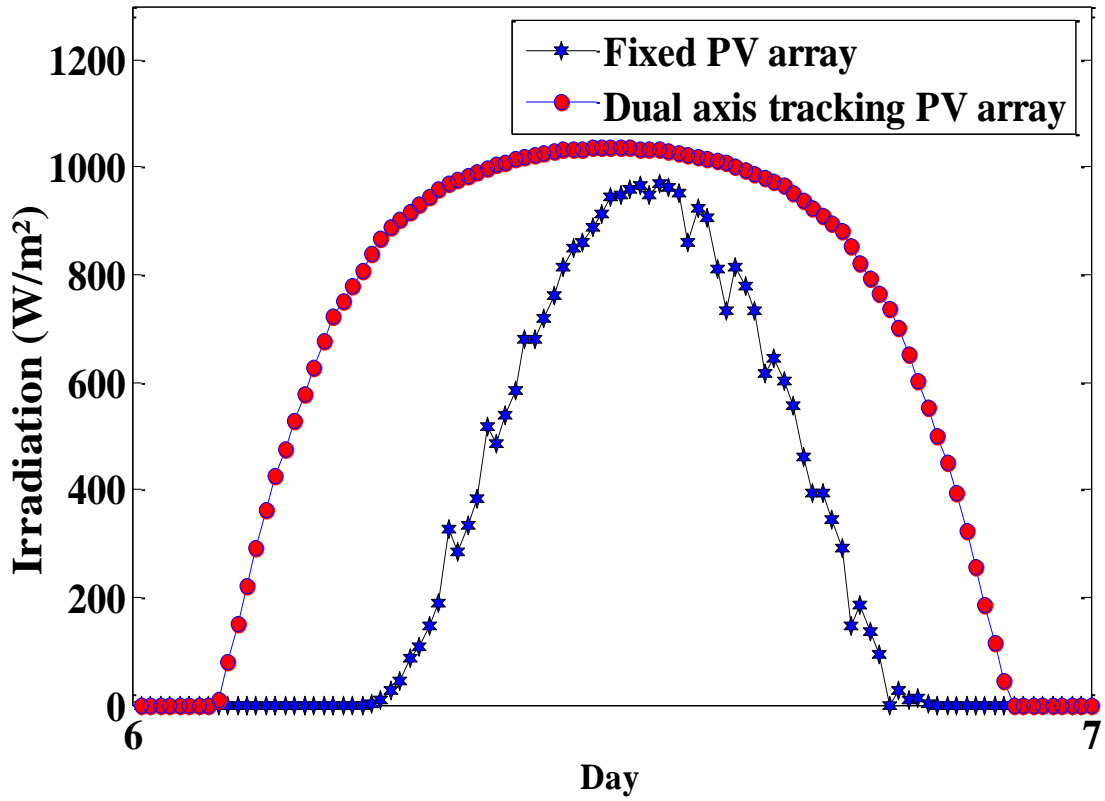
Fig 6. Variations of the optimal gains  $K_{p1}$ ,  $K_{i1}$ ,  $K_{p2}$ , and  $K_{i2}$ .

The optimal value of the objective function is  $6.6436e + 03$  and the optimal gains are  $K_{p1} = 100.90$ ,  $K_{i1} = 4.34$ ,  $K_{p2} = 0.77$ , and  $K_{i2} = 28.22$ , which are clearly shown in Fig .8. The optimal indicators of the P&O-PI controller employed by PSO are summarized in Table 4.

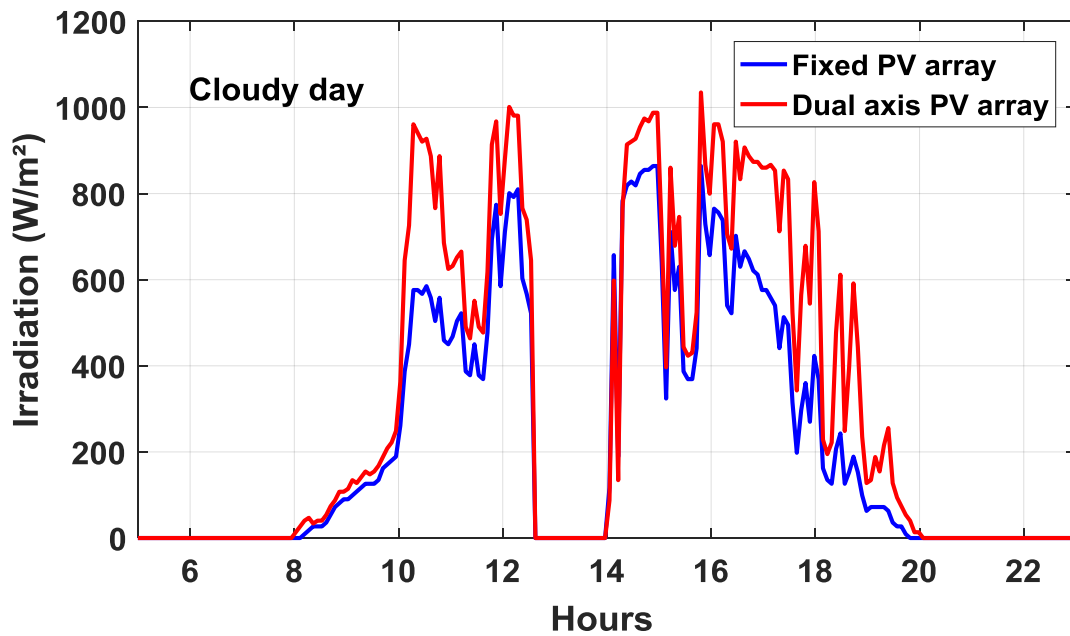
Table 4. Tuning factors of the P&O-PI controller employed by PSO.

Factors	$K_{p1}$	$K_{i1}$	$K_{p2}$	$K_{i2}$
P&O-PI	100.90	4.34	0.77	28.22

To study the behavior of our system under the real climatic changes of irradiation in the Matlab Simulink environment, we have chosen two typical days. The weather station established at the applied research unit in renewable energies (URAER/CDER) provided the actual meteorological data for global irradiations on the fixed tilt ( $32^\circ$ ) used. The global solar irradiation with a two-axis tracker based on the Liu & Jordan model. These data were taken from an online Web application designed by the Development of Renewable Energies Center [<http://data.cder.dz:81>].



(a)

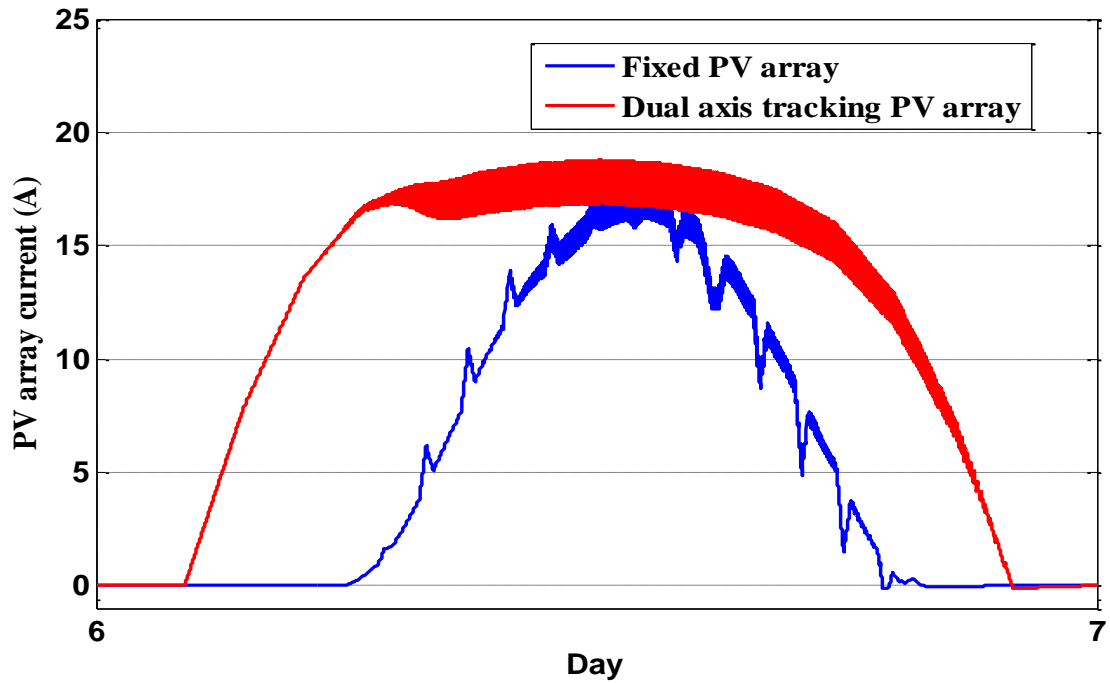


(b)

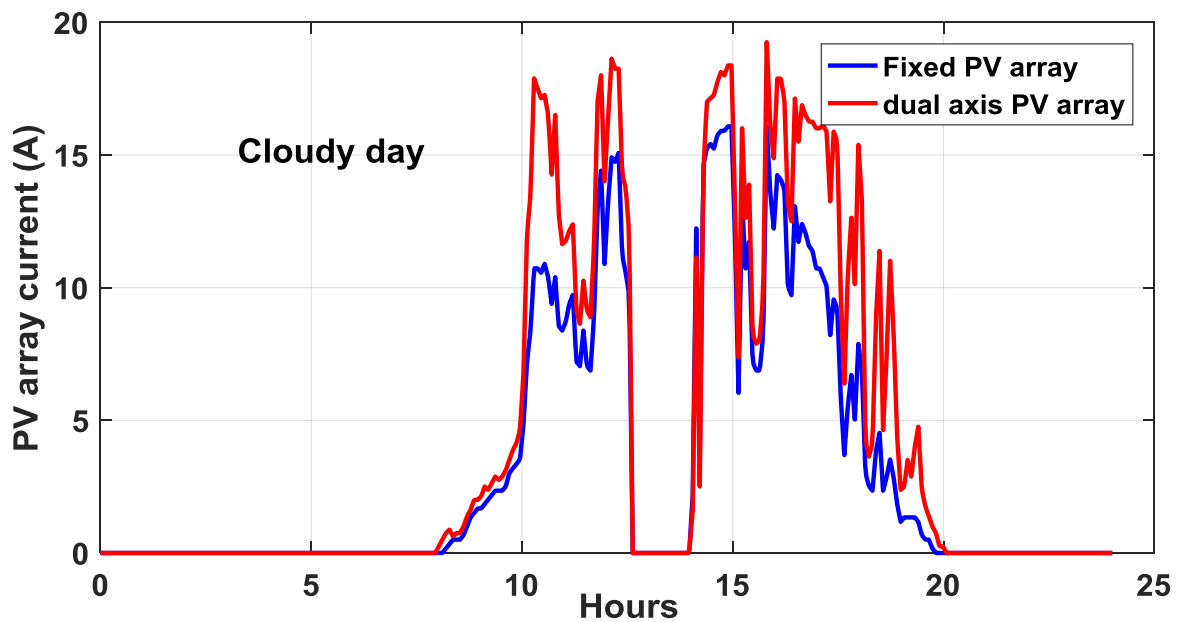
Fig 7. Irradiation profile on a fixed plane and 2-axis solar tracker (a) clear sky day (b) cloudy day

Figure 7 highlights the daily variations of the daily solar radiation for the two systems under study (solar and stationary tracking). The daily solar radiation captured by a sun tracker is drawn in red, while that of the fixed PV plant is blue. An examination shows that for a clear sky, daytime solar radiation

changes in Gaussian form between  $0 \text{ W/m}^2$  and  $1000 \text{ W/m}^2$ . The values are weak at sunrise and sunset and peak at midday (noon). We find that in the case of biaxial solar tracking, the solar radiation is much higher than in the inclined plane.

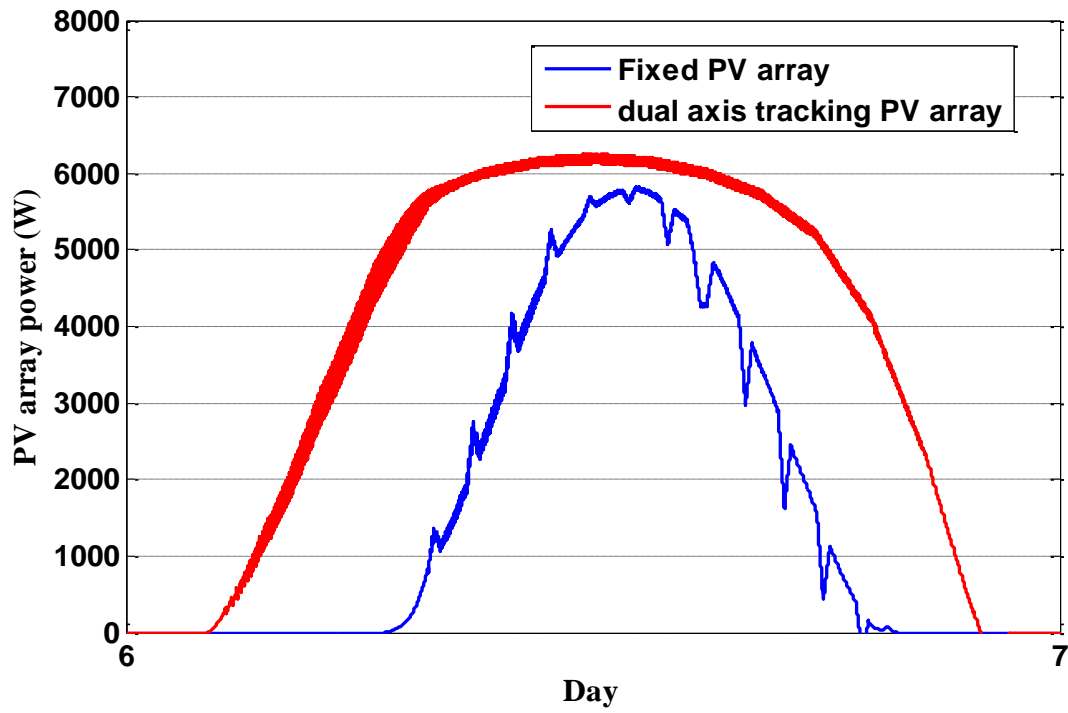


(a)

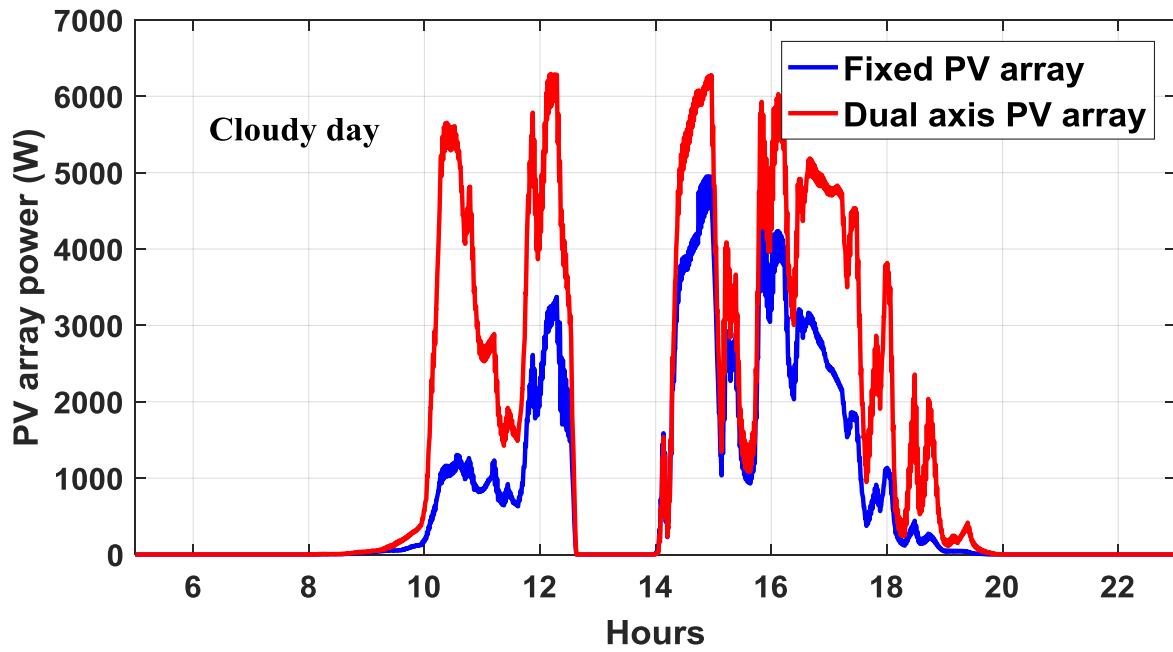


(b)

Fig 8. Characteristics of PV generator currents. (a) clear sky day (b) cloudy day



(a)



(b)

Fig 9. Characteristics of PV generator powers (a) clear sky (b) cloudy day.

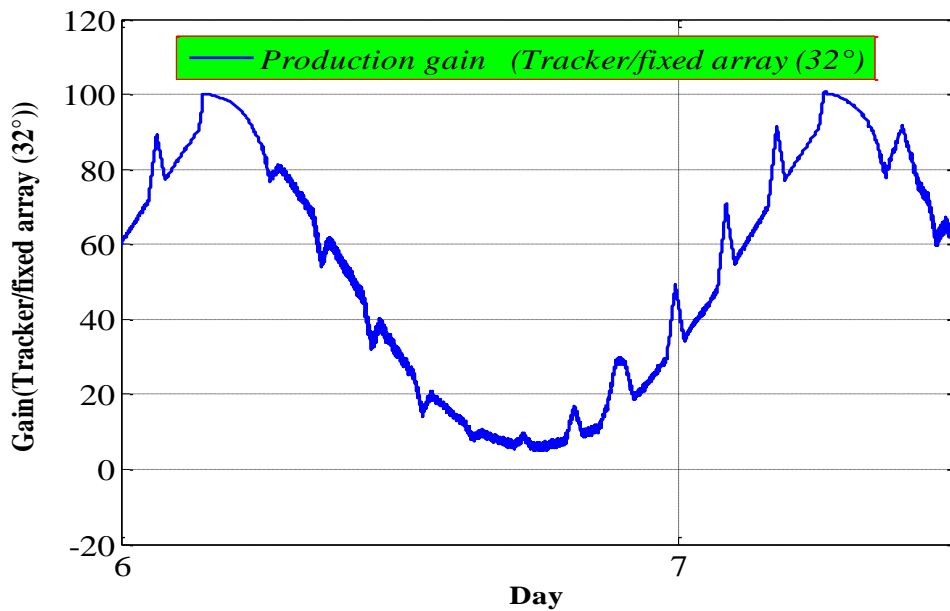


Fig 10. The gain of production (Sun tracker vs fixed inclined PV system ).

Figures 8 and 9 depict the evolution of the current and the daily power of the PV array for the two PV systems (with and without a sun tracker). An examination of the two figures shows that, for a clear sky, the current and power change during the day in the form of a Gaussian. The values are weak at sunrise and sunset and peak at midday (noon). The current profile increases gradually from zero until reaching the maximum value of 20A which corresponds to the extreme value of radiation of  $1000 \text{ W/m}^2$  seen at midday (see Fig 8). The power profile gradually increases from zero until reaching the maximum value of 6 kWp which corresponds to the extreme value of radiation of  $1000 \text{ W/m}^2$  seen at midday (see Fig.9). Fig. 10 shows a comparative diagram of PV electricity production output (gained energy) from a PV plant with a sun tracker to a similar fixed installation ( $32^\circ$ ). The purpose is to visualize electrical characteristics at the output of the PV field and the benefits of employing a PV plant with a sun tracker. According to the outcomes, it appears that all the electrical quantities (powers, voltages, and currents) to optimize the photovoltaic generator converge well with their references after a reasonable response time (0.01s) relative to the dynamics of the variation of the irradiation profile.

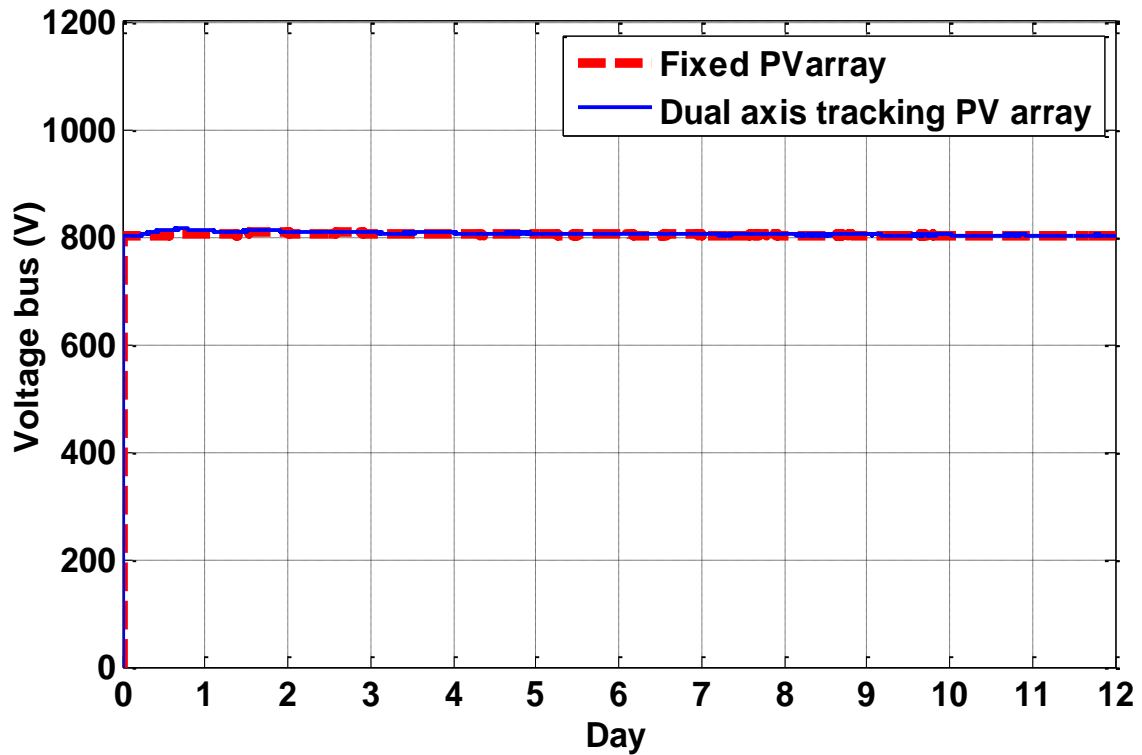


Fig 11. DC link voltage.

The bus voltage is maintained at the reference voltage for both grid-tied photovoltaic systems (with and without a sun tracker) regardless of variations in light (800 V), as illustrated in Fig.11.

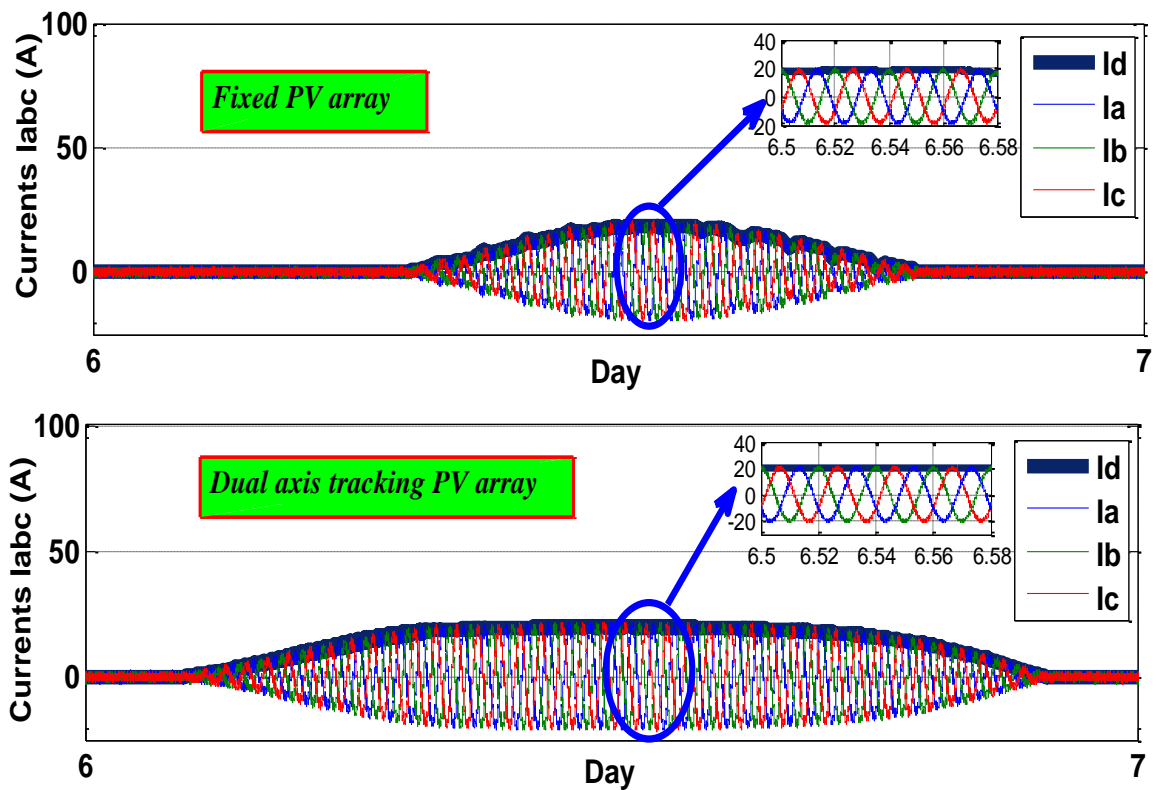


Fig 12. Currents injected into the network and their zoom waveforms.

The characteristics of the three-phase currents ( $I_{abc}$ ) and the current ( $I_d$ ) injected into the grid are shown in Fig. 12. According to the simulation results shown below, the network's current injection converges well with its references (20 A) after a quicker response time (0.01 s), which is consistent with the dynamics of the illumination profile.

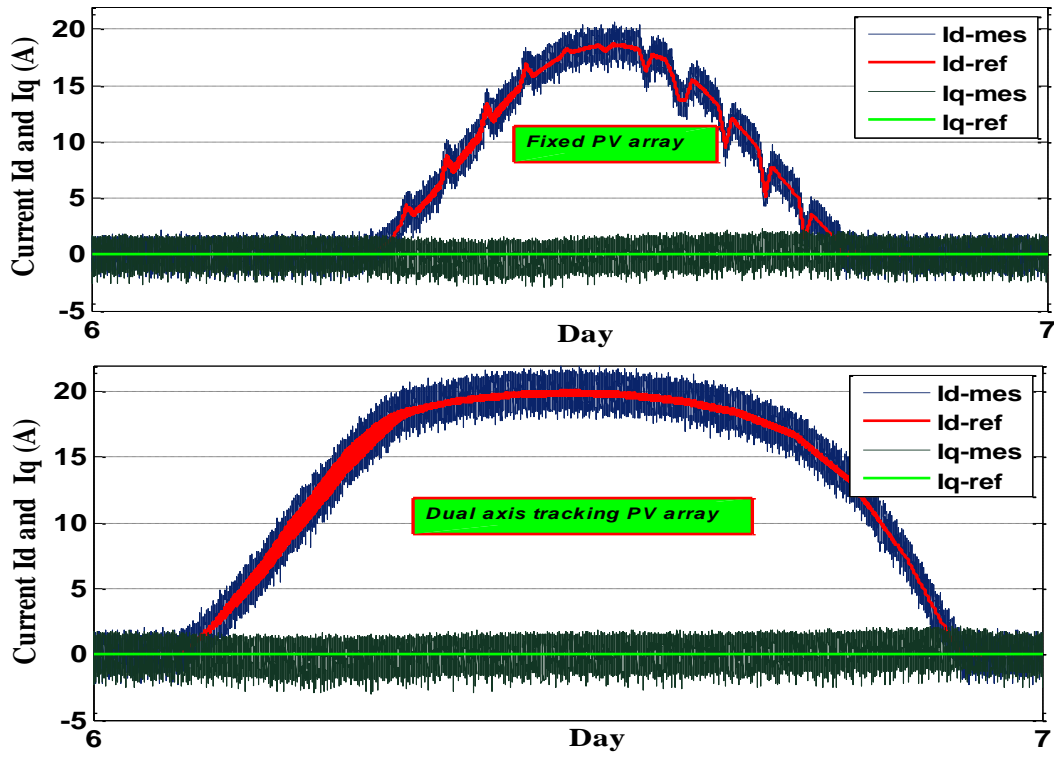


Fig 13. Measured currents and their references.

Fig 13 shows the measured currents ( $I_{d\_mes}$  and  $I_{q\_mes}$ ) and the reference currents ( $I_{d\_ref}$  and  $I_{q\_ref}$ ).

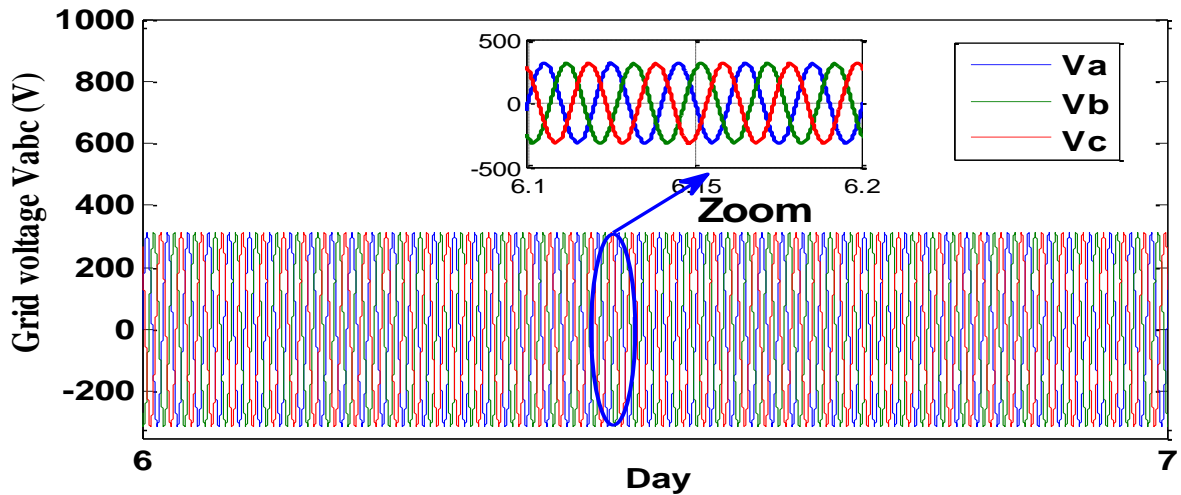


Fig 14. Characteristics of three-phase main voltages.

A balanced three-phase system is created by the network's three-phase voltages (Fig. 14), which have a sinusoidal shape, have the same frequency of 50 Hz, are phase-shifted by  $2/3$ , and have the same RMS value of 220V.

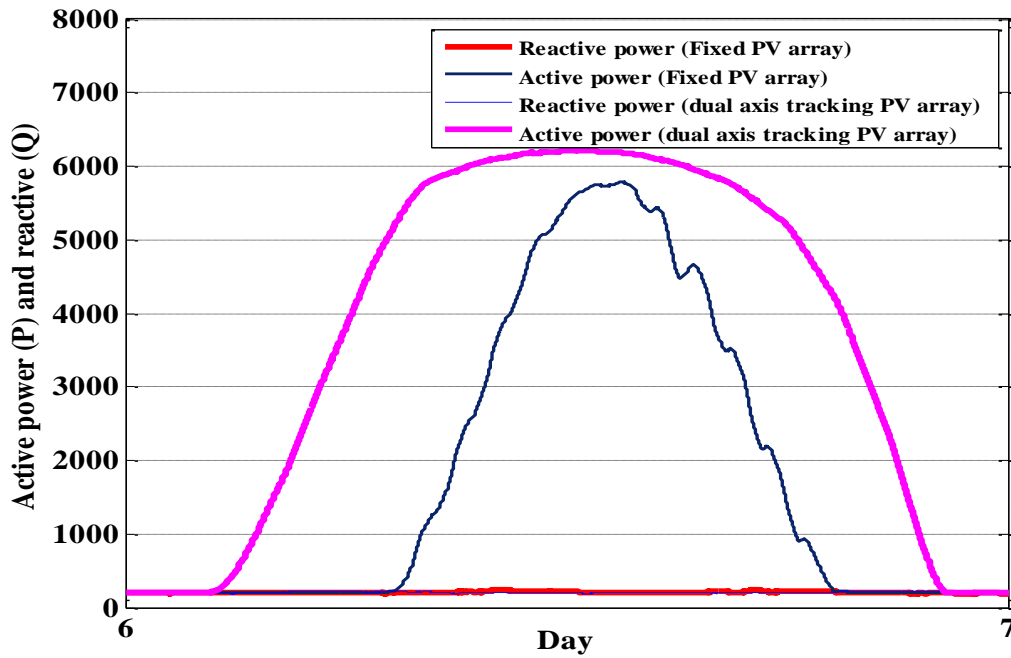


Fig 15. Active and reactive powers injected into the grid.

Figure 15 depicts the characteristics of the network's total active power injection as well as its zero reactive power. A better decoupling between active and reactive power is also depicted in the picture. Throughout all irradiation fluctuations, the active power stays within the boundaries of its reference values. We can infer from the simulation results used in this study that the PV plant controlled by the P&O-PI approach optimized with PSO adapts to changes in the irradiation profile and demonstrates its effectiveness not only for tracking the maximum power point but also for response time and low fluctuation.

The PSO-P&O-PI MPPT algorithm delivers a significant improvement in tracking efficiency of 99.90%, a time response of 0.023 s, and reduced oscillation when compared to the earlier techniques. Table 5 demonstrates how peer benchmarking of MPPT algorithms verifies the specified technique.

Table 5. Performances comparison between PSO-P&O-PI MPPT and other methods

Methods	MPP of P-V curve (W)	Extracted PV power (W)	Power loss (W)	Power loss (%)	Efficiency (%)	Convergence time (Sec)	Reference
PSO-P&O-PI	6000	5994	6	0.10	99.90	0.023	Present study
CSA	325.5	325.40	0.1	0.03	99.97	0.31	[39]
PSO	1260	1244	16	1.27	98.7	0.47	[40]
InC	864	863.1	0.9	0.10	99.90	0.52	[41]
Firefly algorithm (FA)	864	852	12	1.39	98.61	0.60	[41]
Ant Colony Optimization (ACO)	864	862.2	1.8	0.21	99.76	0.53	[41]
Dragon fly Optimization (DFO)	864	863.3	0.7	0.08	99.77	0.53	[41]



## 4. CONCLUSION

In this paper, we recommend the P&O-PI technique that has been optimized with PSO approaches. To determine the current and voltage regulators' ideal gain, which correlates to a PV system's maximum power point, the particle swarm optimization (PSO) method is used. The simulation results demonstrate the best performance and the MPPT controller is capable of promptly maintaining the PV system's maximum working point and its amount of energy, increasing efficiency. Two distinct PV system types—fixed and equipped with sun trackers—that are connected to the grid are compared. Enhancing the electrical energy gain (acquired energy) of the solar panel concerning a stationary PV system is the goal. We have shown the value of using the sun tracker when generating power, especially during the midday hours of the day, with an estimated 25% energy gain.

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